

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of) MARK:
ALBERT E. JOHNSTONE, III, et al.	SWIVEL JOINT APPARATUS
Serial No. 09/942,294	AND METHOD FOR UTILITY SUPPLY TO A ROTATABLE BUILDING
Filed: August 29, 2001	Group Art Unit: 2839

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §§ 1.97 AND 1.98

Commissioner for Patents P. O. Box 1450 Alexandria VA 22313-1450

Attention: Examiner

Dear Sir:

Applicants are submitting herewith copies of the references which are set forth on the attached Form PT/SB/08A. As all relevant parts of the references are in the English language, no explanation of the references is provided herein.

I, Beverly Erdman, hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450 on

∕Signature

Serial No. 09/942,294

- 2 -

Applicants, by the undersigned representative, certify that each item of information contained in this Information Disclosure Statement was cited in an office action received in Applicants' divisional application, Serial No. 10/715,295, not more than three months prior to the filing of this Statement. Consequently, no fee is needed.

Further, while the references provided in this Information Disclosure Statement may be material to patentability pursuant to 37 C.F.R. § 1.56, it is not intended to constitute an admission that any reference referred to herein is prior art for this invention unless specifically designated as such.

Also, in accordance with 37 C.F.R. § 1.97(g), the filing of this Information

Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 C.F.R. § 1.56(a) exists.

Respectfully submitted,

Dated: Sourcey 10,2005

By:

Katherine Proctor Agent for Applicant Registration No. 31,468

GORDON & REES LLP 101 West Broadway, Suite 1600 San Diego CA 92101 Telephone: (619) 696-6700

Facsimile: (619) 696-7124

Docket No.: BAJOH 1029016

PTO/SB/21 (09-04) Approved for use through 07/31/2006, OMB 0651-0031

Secretary Secr	Under the Pa	agenvork Reduction Act of 1995, no	U.S. persons are required to respond to a co	Patent and To	rademark Office; U.S. DEPARTMENT OF COMMERC	
FIRST Named Inventor Albert E. Johnstone, Ill, et al. Art Unit 3853 Examiner Name Christy Marte Green Total Number of Pages in This Submission 71 Attorney Docket Number BAJOH 1029016 Fee Transmittal Form Drawing(s) Alter Allowance Communication to TC Appeal Marter Final Provisional Application Power of Attorney, Revocation Change of Correspondence Address Terminal Disclaimer Request Request Ferfund Request CD, Number of CD(s) Status Letter Other Enclosure(s) (please Identify Delow): PTO Form \$808/A; 5 Cited References; Return Postcard Information Disclosure Statement SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Name Gordon & Rees LLP Signature Communication to TC Reg. No. 31,468 CERTIFICATE OF TRANSMISSION/MAILING I hereby certify that this correspondence is being fracsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below: Signature August			Application Number	09/942,294	4	
At Unit 3835 Examiner Name Christy Marie Green At Unit 3835 Examiner Name Christy Marie Green Attorney Docket Number of Pages in This Submission 71 Attorney Docket Number BAJOH 1029016 ENCLOSURES (Check all that apply) ENCLOSURES (Check all that apply) Fee Transmittal Form Drawing(s) Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) After Final Petition to Convert to a Provisional Application Proprietary Information Disclosure Statement Provisional Application Proprietary Information Status Letter Express Abandonment Request Request Request for Refund Request Ferminal Disclosure Statement CD, Number of CD(s) Cartified Copy of Priority Document(s) Reply to Missing Parts/ Incomplete Application Reply to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Name Gordon & Rees LLP Signature Katherine Proctor Date Cartific Cap Statement Communication to TC (Appeal Notice, Brief, Reply Brief) Proprietary Information Disclosure Statement CD, Number of CD(s) Landscape Table on CD Remarks SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Name Gordon & Rees LLP Signature Katherine Proctor Date Cartific Cap Statement Communication to TC (Appeal Notice, Brief, Reply to Missing Parts under 37 CFR 1.52 or 1.53 (Appeal Communication to TC (Appeal Comm	TF	RANSMITTAL	Filing Date	August 29,	, 2001	
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Landscape Table on CD Certified Copy of Priority Document(s) Reply to Missing Parts/ Incomplete Application Reply to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Name Gordon & Rees LLP Signature Finted name Katherine Proctor Date CERTIFICATE OF TRANSMISSION/MAILING I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below: Signature Sauduk & Malman	Express	Abandonment Request L	=		Return Postcard	
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Firm Name Gordon & Rees LLP Signature Katherine Proctor Date CERTIFICATE OF TRANSMISSION/MAILING I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below: Signature Date Dat	LJ u	under 37 CFR 1.52 or 1.53				
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Signature Printed name Katherine Proctor Date CERTIFICATE OF TRANSMISSION/MAILING I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below: Signature Date D	Firm Name	SIGNATU	RE OF APPLICANT, ATTO	DRNEY, C	JR AGENT	
Printed name Katherine Proctor Date CERTIFICATE OF TRANSMISSION/MAILING I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below: Signature Date Date Date Date Date Date Date	Tim Name	Gordon & Rees LLP				
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I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below: Signature Date Date Date	Date	Jamery 10	2005	Reg. No.	31,468	
I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below: Signature Date Date Date						
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This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

PTO/SB/17 (12-04v2)

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Complete if Known							
Application Number	09/942,294						
Filing Date	August 29, 2001						
First Named Inventor	Albert E. Johnstone, III, et a						
Examiner Name	Christy Marie Green						
Art Unit	3635						
Attomey Docket No.	BAJOH 1029016						

METHOD OF PAYMEN	T (check al	that apply)						
Check Credit Card Money Order None Other (please identify): Deposit Account Deposit Account Number: 501990 Deposit Account Name: Gordon & Rees LLP For the above-identified deposit account, the Director is hereby authorized to: (check all that apply) Charge fee(s) indicated below Charge fee(s) indicated below, except for the filing fee under 37 CFR 1.16 and 1.17 WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card								
FEE CALCULATION								
BASIC FILING, SEAF Application Type	FILING	FEES Small Entity	SEARC	Small Entity		TION FEES	Fees Paid (\$)	
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Plant	200	100	300	150	160	80		
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4. OTHER FEE(S) Non-English Specifi			ll entity di	scount)			Fees Paid (\$)	
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SUBMITTED BY				
Signature	Kullen	Proctor	Registration No. (Attorney/Agent) 31,468	Telephone 619-696-6700
Name (Print/Type)	Katherine Proctor			Date Jan 10, 2005

This collection of information is required by 37 CFR 1.136. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box, Alexandria, VA 22313-1450.

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^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to application.

¹Unique citation designation number. ²See attached Kinds of U.S. Patent Documents. ³Enter Office that issued the document, by the two-letter code (WIPO